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Continuing Education Course Approval Checklist

Title: Plaquenil Toxicity Screening

Provider Name: Macula and Retina Institute c/o Dr. Kent Small

Completed Application

Correct Application Fee

Detailed Course Summary

Detailed Course Outline

PowerPoint and/or other Presentation Materials

Advertising (optional)

CV for EACH Course Instructor

☐License Verification for Each Course Instructor Disciplinary History? ☐Yes ☑No

BUSINESS, CONSUMER SERVICES, AND			GOVERNOR	EDMUND G. BROWN	<u>JR.</u>
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I declare under penalty of perjury under the laws of the State of California that all the information submitted on this form and on any accompanying attachments submitted is true and correct.					
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Re: "Plaquenil Toxicity Screening" Presentation

Innovative experienced and highly personalized retinal care

January 5, 2017

Kent W. Small, MD Board-Certified:

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American Board of Ophthalmology

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MD: Tulane University School of Medicine, New Orleans, LA

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- Optical coherence tomography (OCT)

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www.maculaandretinaInstitute.com

I would like to extend my apology for not properly submitting the forms for the requested presentation listed above. Moving forward I will be emailing all requests to make the follow up process easier and not mail them in. Regards,

To whom it may concern,

Jessica Avetisian Administrator Kent W. Small MD

SUMMARY

The purpose of the presentation is to educate local optometrists on the proper care and management when they are seeing patients who are on or about to begin Plaquenil. Plaquenil is known to show retinal toxicity which can effect the vision and it is imperative to be able to spot any changes and to contact the patient's rheumatologist to notify them about the changes. The presentation goes over how to spot and what testing to perform to make sure there is no retinal toxicity. I have included an article from the American Academy of Ophthalmology that talks about retinal toxicity for your review.

January 2, 2017

OUTLINE "Plaquenil Toxicity Screening" Kent W. Small MD NPI 1861470783 License A53173

1. Introduction

- Retinal toxicity from chloroquine (CQ) and its analogue, hydroxychloroquine (HCQ), has been recognized for many years.
- The recent publication of a large demographic study has shown that toxicity is not rare among long-term users of the drug, and the risk is highly dependent on the daily dose by weight.
- The goal of screening for retinopathy is not to stop valuable drugs at the first borderline abnormality. \circ It Is to recognize definitive signs of toxicity at an early enough stage to prevent a loss of visual acuity.
- Toxicity is of serious ophthalmologic concern because it is not treatable. It has been demonstrated that central vision can be preserved if damage is recognized before there are changes in the retinal pigment epithelium (RPE)
- 2. Importance of Retinal Eye Exams
- 3. PROPER TESTING INCLUDES
 - Fundus/Fluorescein Photography
 - Multi-focal ERG
 - · Visual Field /Microperimetry
 - Optical Coherence Topography (OCT)
- 4. Things to look out for
 - -Visual acuity usually is excellent with either pattern until severe stages of damage, and most patients who develop HCQ toxicity have no visual symptoms at all.
 - A few perceptive patients may notice paracentral scotomas while reading.
 - If drug exposure continues, the area of functional disturbance expands, the RPE becomes involved, and the maculopathy can encroach on the foveal center with eventual loss of visual acuity.
 - Cystoid macular edema sometimes may develop,11 and advanced cases show widespread RPE and retinal atrophy with loss of visual acuity, peripheral vision, and night vision.
- 5. Statistical risk of toxicity
- 6. Risk factors
 - -Rationale for screening
 - -Screening Frequency
- 7. Conclusion

- Get your patients to see a Retina Specialist for baseline screening BEFORE they start medication

- Make sure you are receiving yearly reports for their annual follow-up

Follow-up with documentation of their visit
Don't take your patient's verbal "my eyes are fine" as proof
SEND YOUR PATIENTS FOR A SCREENING WITH PROPER
DIAGNOSTIC TESTING

SCREENING PREQUENCY

Below are guidelines and recommendations for screening that we deem a fair balance of risk and cost, but the exact timing and extent of screening relative to risk and prevalence, and to cost and tegal considerations are judgments that Individual physicians and health phases must utilimately determine (Table 2).

Table 2. Screening Frequency

Baseline Screening Fundus scanniantion within first year of use Add visual fields and SD (XCT if macniepathy is present Annual Screening Bagin after 5 yrs of ne Sconer in the presence of major risk factors

SD OCT = spectral-domain optical coherence romography.

STATISTICAL RISK OF TOXICITY





- Earlier literature on the prevalence of CQ or HCQ retinopathy included few patients on long-term therapy and only recognized severe toxicity (bull's-eye changes)
- These reports have been superseded now by a large study of 2361 patients who used HCQ for more than 5 years and were evaluated with 10-2 visual fields or spectral-domain optical coherence tomography (SD
- OCT) so that toxicity could be recognized before there were any visible signs on fundus examination.2
- The overall prevalence of toxicity in this study population was 7.5%, although it varied greatly with the daily dose and duration of use.

CONCLUSION

- Get your patients to see a Retina Specialist for baseline screening BEFORE they start medication
- Make sure you are receiving yearly reports for their annual follow-up
- Follow-up with documentation of their visit
- Don't take your patient's verbal "my eyes are fine" as proof
 SEND YOUR PATIENTS FOR A SCREENING WITH PROPER DIAGNOSTIC TESTING

RISK FACTORS

The most important risk factors are listed in Table 1.

Table 1. Major Risk Factors for Toxic Retinopathy

	Daily costage
	HCQ
1	00
	Duration of use
	Renal disease
	Concomitant drugs
	Maculat disease

>5.0 mg/kg real weight. >2.3 mg/kg real weight. >5 Yrs, assuming no other risk factors. Subtormal plomerular fibration rate Transmitten use May affect exercising and susceptibility to HCQCQ.

OQ = chloroquine; HCQ = hydroxy.chloroquine

RECOMMENDED SCREENING TESTING

- Subjective, Functional: Automated Threshold Visual Fields.
- Subjective, Functional: Microperimetry
- Objective, Structural: Spectral-Domain Optical Coherence Tomography.
- Objective, Functional: Multifocal Electroretinogram
- Objective, Structural: Fundus Autofluorescence
- Subjective, Functional: Microperimetry
- Objective, Structural: Adaptive Optics Retinal Imaging.

RATIONALE FOR SCREENING

- Hydroxychioroquine and CQ retinopathy are not reversible, and cellular damage may progress even after the drugs are stopped.
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- When relinopathy is recognized early, before RPE damage, there is only mild and limited progression after discontinuing the medication, and the fovea is not threatened.5
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- screening can be viewed as a means of helping pattents to continue HCQ or CQ (by not stopping the drugs for uncertain findings) as much as a means of preventing serious retinel damage (by the early recognition of definitive findings).

PROPER TESTING INCLUDES

- Fundus/Fluorescein
 Photography
- Multi-focal ERG - Visual Field
- /Microperimetry
 Optical Coherence
- Optical Coherence Topography (OCT)



PLAQUENIL Toxicity Screening

Kent W. Small MD

CLINICAL EXAMINATION TECHNIQUES

Screening techniques that are recommended or that should be avoided are listed in Table 3.



INTRODUCTION

- Retinal toxicity from chloroquine (CQ) and its analogue, hydroxychlorcquine (HCQ), has been recognized for many years.
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- Toxicity is of serious ophthalmologic concern because it is not treatable.
- It has been demonstrated that central vision can be preserved if damage is recognized before there are changes in the retinal pigment epithelium (RPE)

THINGS TO LOOK OUT FOR

- Visual acuity usually is excellent with either pattern until severe stages of damage, and most patients who develop HCQ toxicity have no visual symptoms at all.
- A few perceptive patients may notice paracentral scotomas while reading.
- If drug exposure continues, the area of functional disturbance expands, the RPE becomes involved, and the maculopathy can encroach on the foveal center with eventual loss of visual acuity.
- Cystoid macular edema sometimes may develop,11 and advanced cases show widespread RPE and retinal atrophy with loss of visual acuity, peripheral vision, and night vision.

IMPORTANCE OF RETINAL EYE EXAMS

- Before starting your patient on Plaquenil you should send to a Retina Specialist for baseline screening
- Annual visits are necessary to monitor changes
- Protect yourself and ask for documentation from the screening and file in patient's records.

PLAQUENIL Toxicity Screening

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Kent W. Small MD

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- Fundus/Fluorescein
 Photography
- Multi-focal ERG
- Visual Field /Microperimetry
- Optical Coherence
 Topography (OCT)



CLINICAL EXAMINATION TECHNIQUES

Screening techniques that are recommended or that should be avoided are listed in Table 3.

 Table 3. Clinical Examination Techniques

 Recommended Screening Tests

 Primary tests ideally do both

 Automated Visual fields (appropriate to moc)

 SD OCF

 Other objective tests (as needed or available):

 mERG

 FAF

 Newer, tests of possible value in future

 Micimperimetry

 Adaptive optics retinal imaging

 Not Recommended for Screening

 Fundus examination.

 Time-domain OCT

 Fluorescein angiography

 Full-field ERG

 Amsler grid

 Color testing

 EOG

THINGS TO LOOK OUT FOR

- Visual acuity usually is excellent with either pattern until severe stages of damage, and most patients who develop HCQ toxicity have no visual symptoms at all.
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STATISTICAL RISK OF TOXICITY



Figure 4. Kaplan-Meler, curves showing the cumulative risk of retitopratin over dime, with different: levels of hydroxychloroquine. (HOO) use. When use it herwein 3.0 mlds, the risk lice ray low within the firm 5 in 10 years, but it increases markedly thereafter. Reprinted with permission from Melles RB, Mammer MF: The risk of node, retinopathy in patients on. Iongletern hydroxychloroquine therapy. JAMA 'Ophthalmol 2014;13:21:453-60.⁷



Figure 5. Incremental annual risk of watchy for a particult at different levels of hydroxychloroxydine (HCQ) use who 6 found to be free of retiriogistiv et al pairs politic in time. The annual risk effort within the first [0] years of use, but increases with longer dimitions of thenipy. Reprinted with permission from Melles RD, Mamior ME, The risk of tooks retinepathy in partners on Lung-term hydroxychloroxychloroxy. JAMA. Ophthalmol 2014;132:1453–607.

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RISK FACTORS

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Table 1, M	ajor Risk Factors for Toxic Retinopathy
Daily dosage	n in the state of th The state of the state
HEQ	>5.0 mg/kg real weight
CQ	>2.3 mg/kg real weight
Duration of use	>5 Yrs, assuming no other risk factors
Renal disease	Subnormal glomerular filtration rate
Concomitant drugs	Tamoxifen use
Macular disease	May affect screening and susceptibility to HCQ/CQ

 \dot{CQ} = chloroquine; \dot{HCQ} = hydroxychloroquine.

RATIONALE FOR SCREENING

- Hydroxychloroquine and CQ retinopathy are not reversible, and cellular damage may progress even after the drugs are stopped.
- When retinopathy is not recognized until a bull'seye appears, the disease can progress for years, often with foveal thinning and an eventual loss of visual acuity.
- When retinopathy is recognized early, before RPE damage, there is only mild and limited progression after discontinuing the medication, and the fovea is not threatened.5
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Table 2. Screening Frequency

Baseline Screening

Fundus examination within first year of use.

Add visual fields and SD OCT if maculopathy is present

Annual Screening

Begin after 5 yrs of use

Sooner in the presence of major risk factors

SDOCT = spectral-domain optical coherence tomography.

CONCLUSION

- Get your patients to see a Retina Specialist for baseline screening BEFORE they start medication
- Make sure you are receiving yearly reports for their annual follow-up
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- Objective, Structural: Fundus Autofluorescence
- Subjective, Functional: Microperimetry
- Objective, Structural: Adaptive Optics Retinal Imaging.

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Ophthalmic Pearls

RETINA

Hydroxychloroquine-Induced Retinal Toxicity

BY MARK S. HANSEN, MD, AND STEFANIE G. SCHUMAN, MD EDITED BY INGRID U. SCOTT, MD, MPH, SHARON FEKRAT, MD, AND MICHAEL F. MARMOR, MD

any systemic medications may cause retinal toxicity. One such commonly used medication for dermatologic and rheumatologic inflammatory conditions is hydroxychloroquine (Plaquenil), a chloroquine derivative. It is used to treat many diseases including malaria, rheumatoid arthritis and systemic lupus erythematosus.

Retinal toxicity from hydroxychloroquine is rare, but even if the medication is discontinued, vision loss may be irreversible and may continue to progress. It is imperative that patients and physicians are aware of and watch for this drug's ocular side effects. And before treatment is initiated with hydroxychloroquine, a complete ophthalmic examination should be performed to determine any baseline maculopathy.

Ophthalmologists should also follow the most current screening guidelines established by the Academy,¹ recently revised in light of new findings. (For a broader look at drugs with ocular side effects, see last month's Clinical Update, "Rx Side Effects: New Plaquenil Guidelines and More.")

Symptoms and Signs

Symptoms. Patients in earlier stages of hydroxychloroquine retinal toxicity usually do not experience symptoms, though the rare patient may note a paracentral scotoma that causes trouble with reading as well as diminished color vision. However, most patients



ADVANCED BULL'S-EYE RETINOPATHY. A 55-year-old female who had been taking hydroxychloroquine for 10 years before the onset of symptoms. Color fundus photos showing bull's-eye maculopathy (1). Fundus autofluorescence with central mottled hypoautofluorescence with surrounding rim of hyperautofluorescence (2). SD-OCT shows marked parafoveal thinning of the retina (arrows), especially of the outer photoreceptor layers (3).

usually notice symptoms only after scotomas have become severe. When allowed to advance, hydroxychloroquine retinal toxicity leads to loss of up to three visual functions: acuity, peripheral vision and night vision.

Signs. On examination, a telltale sign of hydroxychloroquine toxicity

Ophthalmic Pearls

is a bilateral change in the retinal pigment epithelium of the macula that gives the commonly described appearance of a bull's-eye (Fig. 1). This is a late finding, however, and too late for screening to be useful.

In early toxicity there are no visible signs, but field, OCT and mfERG changes can be detected. If abnormalities are present only unilaterally, investigate other causes besides hydroxychloroquine toxicity (see "Differential Diagnosis of Bull's-Eye Maculopathy").

Mechanism of Toxicity

The mechanism of hydroxychloroquine retinal toxicity has yet to be fully elucidated. Studies have shown that the drug affects the metabolism of retinal cells and also binds to melanin in the RPE, which could explain the persistent toxicity after discontinuation of the medication. However, these findings do not explain the clinical pigmentary changes causing a bull'seye maculopathy.

Medication Dosage

Several factors have been associated with the risk of developing hydroxychloroquine retinopathy. One of the most important appears to be dosage—with debate over whether daily intake vs. cumulative dosage is most significant. Recent studies indicate that cumulative dosage may be a more important consideration than daily dosage.² However, since higher daily dosage will obviously lead to the toxic cumulative dose more rapidly, daily dosage is still important to consider. Higher daily dosage also leads to higher concentration of the drug in the RPE, which could lead to more aggressive tissue damage. Previous reports indicate that toxicity is rare if dosing is less than 6.5 mg/kg/day.² To avoid overdosage, especially in obese patients or those of short stature, dose should be based on height, which allows for an estimation of ideal body weight. (The drug clears slowly from the blood, so basing dosage on weight puts obese patients at risk.) The typical daily dos-



Systemic Medications That Can Cause Retinal Toxicity

Canthaxanthine	Isotretinoin
Chlorpromazine	Methoxyflurane
Deferoxamine	Rifabutin
Digoxin	Sildenafil
Ethambutol	Tamoxifen
Ethylene glycol	Thioridazine

age for most indications is 200 mg to 400 mg per day. Daily dosage is recommended not to exceed 400 mg.

Risk for Toxicity

Although it is not possible to predict which patients will develop retinal toxicity, high-risk characteristics include the following:

• daily dose greater than 400 mg (or, in people of short stature, a daily dosage over 6.5 mg/kg ideal body weight) or total cumulative dose of more than 1,000 g

• medication use longer than five years

• concomitant renal or liver disease (because the drug is cleared by both routes)

• underlying retinal disease or maculopathy

• age greater than 60 years.

Monitoring Guidelines

Guidelines on screening for retinopathy associated with hydroxychloroquine toxicity were initially published by the Academy in 2002. These guidelines were updated in February of this year, given the emergence of more sensitive diagnostic techniques and the recognition that risk of toxicity from years of hydroxychloroquine use is greater than previously believed.

The updated guidelines state that due to sensitivity, specificity and reliability issues, it is not recommended that Amsler grid testing, color vision testing, fundus examination and fullfield electroretinogram or electrooculogram be used for toxicity screening. Fluorescein angiography may assist in visualizing early subtle changes in the RPE, but it is not considered a screen-

Ophthalmic Pearls

Differential Diagnosis of Bull's-Eye Maculopathy

Age-related macular degeneration Benign concentric annular dystrophy Central areolar choroidal dystrophy Chloroquine/hydroxychloroquine retinal toxicity Chronic macular hole Cone and cone-rod dystrophies

ing tool for retinal toxicity.

Stargardt disease

It is critical to counsel patients about the benefits and limitations of screening, underscoring that it can catch toxicity at early stages and minimize vision loss but cannot necessarily prevent all toxicity and vision loss.

Baseline examination. At the initiation of treatment with hydroxy-chloroquine, the prescribing physician should refer the patient to an ophthalmologist. During the initial examination, it is recommended that the patient receive:

 a thorough ocular examination documenting any preexisting conditions,
 a Humphrey visual field central 10-2 white-on-white pattern, and
 at least one of the following objective tests, if available:

• fundus autofluorescence (FAF)

• multifocal electroretinogram (mfERG) or

• spectral domain OCT (SD-OCT).

In fact, mfERG—a test that is typically available in large clinical centers—objectively evaluates function and can be used in place of visual fields. It's also worth considering the use of color fundus photographs to assist in documenting changes over time, especially if there is preexisting retinal pathology. However, the dilated fundus exam should not be considered a screening tool, as it only picks up relatively late toxic changes.

Ongoing monitoring. Encourage the patient to obtain an annual ophthalmic examination as part of the screening process. Since toxicity is rare within the first five years of treatment, ancillary testing is not necessary unless abnormalities are noted on baseline examination. However, earlier, more frequent screening may be prudent for those at higher risk for toxicity. After five years of treatment, perform annual screenings, including an ocular examination, 10-2 threshold field testing, and one of the objective tests. In practical terms, SD-OCT is most widely available, and is very sensitive, so practitioners should look for subtle parafoveal abnormalities.

Toxicity: suspected and confirmed. Whenever you note abnormalities, obtain additional testing. Repeat visual fields promptly if you see central or parafoveal changes, even if these appear to be nonspecific. If these findings are reproducible, follow up with objective testing. If toxicity is suspected, perform more frequent and detailed examinations. Once toxicity is confirmed, the prescribing physician should be notified and hydroxychloroquine discontinued unless it is medically critical and the patient has been informed of the visual risk. Before discontinuation, inform the patient that the drug clears slowly from the body and therefore visual function may continue to slowly deteriorate.

Conclusion

Patients and their physicians prescribing hydroxychloroquine need to be keenly aware of retinal toxicity risks and the importance of regular screening, and ophthalmologists who see these patients should keep retinal toxicity in the front of their minds. Adhering to the Academy's guidelines will help achieve the goal of identifying abnormalities with screenings and examination prior to the patient's visual complaints.

1 Marmor, M. F. et al. *Ophthalmology* 2011; 118:415-422.

2 Mieler, W. F. New Monitoring Guidelines for Hydroxychloroquine. Presented at Retina Subspecialty Day, Oct. 16, 2010, Chicago.

Dr. Hansen is a first-year ophthalmology resident, and Dr. Schuman is assistant professor of ophthalmology. Both are at Duke University Eye Center in Durham, N.C.

Coming in the next EyeNet

Feature DALK, DSEK and DMEK Explained

Understand the rationale behind each approach, the criteria for patient selection and the concerns to watch for <u>peri-</u> and postoperatively.

Clinical Update

Cornea: New guidelines from the Blepharitis Working Group. Glaucoma: Managing advanced disease. Pediatrics: Surgical treatment

for children with uveitis.

Destination Orlando

Preview some highlights of Subspecialty Day.

Blink

Take a guess at the next issue's mystery image.

Products & Services Check out EyeNet's marketplace.

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These stories also will be available online at www.eyenetmagazine.org.

FOR ADVERTISING INFORMATION Mark Mrvica or Kelly Miller M. J. Mrvica Associates Inc. 856-768-9360 mjmrvica@mrvica.com CURRICULUM VITAE

NAME: Kent W. Small, M.D.

DATE: 12/2014

PRESENT POSITION AND ADDRESS: Scientist: Cedars-Sinai Medical Center, Regenerative Medicine Institute President / Founder Kent W. Small, M.D. A Medical Corporation President / Founder Macula and Retina Institute President / Founder: Molecular Insight LLC, A Research Corporation President / Founder: Molecular Insight Research Foundation (501C3) 8635 W. 3rd Street, Suite 395 W, Los Angeles, CA 90049 310-659-2200 501 N Orange St. Suite 250, Glendale, CA 91203 818-552-5040

BIOGRAPHICAL: Date and place of birth: 19 October 1956, New Orleans, LA Citizenship: US

Home address: 3134 Corda Dr. , Los Angeles, CA 90049

EDUCATION:

Aug 1974 - Aug 1977, Zoology, None, Louisiana State University

Aug 1977 - June 1981, Medicine, M.D., Tulane University

May 1979 - Aug 1979, Cancer Research Externship during medical school, None, Tulane University

Jul 1981 -- Jul 1985, Resident in General and Cardiothoracic Surgery, None, Duke University

Jul 1983 - Jul 1985, Research Fellow in Surgical Cardiac Electrophysiology, None, Duke University

Jul 1985 - Jul 1988, Resident in Ophthalmology, None, Duke University

Jul 1988 – Jan 1991, Fellow in Vitreoretinal Surgery, None, Duke University Jan 1989 – Jan 1991, Research Associate Neurogenetics

PROFESSIONAL WORK HISTORY AND TEACHING EXPERIENCE:

Jul 2004 – Sept. 2004 Professor and Chair, Department of Ophthalmology and Visual Sciences, UTMB, Galveston, Texas Jul 1998 – Jun 2004, Professor w/tenure, Jules Stein Eye Institute, UCLA, Los Angeles, CA

Aug 1994 – Jun 1998, Associate Professor w/tenure, Jules Stein Eye Institute, UCLA, Los Angeles, CA Jun 1994 – Aug 1994, Associate Professor w/tenure, Department of Ophthalmology, Biochemistry, and Molecular Biology, University of Florida, Gainesville, FL

Aug 1992 - Jun 1994, Assistant Professor tenure track, Department of Ophthalmology, Biochemistry and Molecular Biology, University of Florida, Gainesville, FL

Jan 1992 - Aug 1992, Research Associate, Neurogenetics, Department of Medicine, Division of Neurogenetics, Duke University, Durham, NC

Jan 1991 - Jan 1992, Assistant Professor tenure track, Department of Ophthalmology School of Graduate Studies, Medical University of South Carolina, Charleston, SC

RESEARCH ACTIVITIES:

A. Area of research: Molecular genetics of macular and retinal degenerations as

well as inherited corneal diseases, inherited eyelid dysmorphology, and inherited optic nerve abnormalities. Stem cells fetal cortical neural progenitor cells to treat macular and retinal degenerations.

Grant support:

Contracts and Grants: Previously Funded

R

- NRSA (National Research Service Award / NEI) Amount: \$40,000. Duration: 2 years. Title: The effect of total body irradiation on corneal neovascularization. Principal Investigator.: Kent W. Small, M.D. 1985, was asked to decline by Duke Eye Center to begin residency in ophthalmology one year earlier.
- Jacob Javits Fellow: National Institutes of Health/NINDS. amount \$60,000, duration: 1988-1989. Title: Genetics mapping of inherited diseases. Principal Investigator.: Kent W. Small, M.D.
- K11 Physician Scientist Award, National Institutes of Health, National Eye Institute, EY00313. Principal Investigator.: Kent W. Small, M.D. Amount/Years Duration: 5 years, \$360,000; Title: Genetics Studies of North Carolina Macular Dystrophy. 1989-1994.
- Jules and Doris Stein Research to Prevent Blindness Professorship. Amount: \$350,000; Duration: 5 years. Additional \$100,000 for equipment. Title: Molecular Genetics Studies of Ocular Diseases. 1993-1994. Principal Investigator; Kent W. Small, M.D.
- Retinitis Pigmentosa Foundation.; Amount: \$50,000. Title: Cloning the Gene for Dominant Cone Degeneration. 1993-1995. Principal Investigator: Kent W. Small, M.D.
- Division of Sponsored Research for University of Florida. Inherited Ocular Disease-gene mapping. Duration: 1 year, 1993, \$15,000, Principal Investigator: Kent W. Small, M.D.
- National Institutes of Health, National Eye Institute RO-1 EY10239 Duration: 5 years; Amount: \$987,000 Direct Cost. Title: Hereditary Macular Degenerations. 8/93-8/98. Principal Investigator: Kent W. Small, M.D.
- The Foundation Fighting Blindness: 9/96-8/99, 180,000, Cloning the gene for autosomal dominant cone degeneration. Principal Investigator: Kent W. Small, M.D.
- Ciba-Giegy Photodynamic Therapy for Age-related macular degeneration., \$10,000. 11/1/99-3/2000 Participating surgeon: Kent W. Small, M.D
- National Institutes of Health, National Eye Institute, Submacular Surgery Trials (SST): age-related macular degeneration. participating surgeon 7/1998 - 6/2000.
- Agouron Pharmaceuticals, Oral AG3340 for the treatment of choroidal neovascularization in age-related macular degeneration, PI at UCLA center, Principal -Investigator: Kent W. Small, M.D. \$100,000, 1998-2001
- National Institutes of Health, National Eye Institute RO-1 EY11645: Duration: 3 years; Amount: \$700,000 Direct Cost. Title: Cloning the blepharophimosis gene. 1998-2001. Principal Investigator: Kent W. Small, M.D
- Lu-Tex Photodynamic Therapy for AMD, (Alcon Laboratories), A phase I trial, a dose ranging study of Lutetium Texaphyrin injectable formulation in the photodynamic treatment of subfoveal choroidal neovascularization. Participating / treating physician: Kent W. Small, M.D.
- Alcon Laboratories, Inc. An evaluation study on the safety and efficacy of Anercortave Acetate Sterile Suspension vs. placebo following sub-tenon's injections for the inhibition of Neovascularization in patients. C-98-03 (Schwartz, PI) 12/01/2000 – 12/01/2003 \$225,000 Kent W. Small, M.D. Role: Co-Investigator
- 15.
 ROI EY11645 (Kent W. Small, PI)
 08/01/2001 07/31/2004

 NIH/NEI
 \$200,000

 Cloning the gene defect causing blepharophimosis syndrome
 The major goals of this project are to identify the mutations causing the blepharophimosis syndrome

 16.
 Muscular Dystrophy Association (Kent W. Small, PI)
 07/01/2003 – 06/30/2006

 Hereditary and Motor and Sensory Neuropathy Type (CMT) IV,
 \$63,410

 Gene Mapping and Positional Cloning
 \$63,410

This project aims to identify the gene responsible for a specific neuromuscular disease that causes muscle weakness and otpic nerve atrophy, Charcot-Marie-Tooth type 6 (CMT6).

- 17.
 The Foundation Fighting Blindness (Bok, Center Coordinator)
 07/01/00 06/30/05

 JSEI Center Core Grant
 \$\$121,137 (KWS Portion)

 Module V: Cloning the gene for autosomal dominant cone degeneration (CORD5)

 The major goals of this project are to identify the genetic basis of an inherited retinal degeneration; CORD5.
 Role: Kent W. Small, M.D.: Principal Investigator of Module V
- 18. P 30 EY00331 (Hubbell, PI) 03/01/99-02/28/04 NIH/NEI \$291,029 (Core) Core Center Grant for Vision Research The major goal of this grant is to provide shared use of core facilities among 17 investigators. Role: Kent W. Small. M.D.: Investigator
- T32 EYE07026 (Farber, PI)
 09/30/00-09/29/05 S248,248
 Vision Research Training Grant, Biochemistry of Cone Visual Cells
 This project funds predoctoral and postdoctoral fellows in vision science (fourteen mentors in Ophthalmology).
 Role: Kent W Small MD Investigator
- 20. R01 MH63764-01A1 (Kumar, PI) 5/1/2002-4/30/2006 NIH/National Institute of Mental Health Cerebrovascular Basis of Depression in Diabetes-NIDDM Role: Kent W. Small, M.D.: Co-Investigator
- 21.
 CIRM (California Institute for Regenerative Medicine) Neural progenitor cells for the treatment of retinitis pigmentosa.
 1/2015-1/2018 \$15,000,000 accepted

 810:
 Kent W. Small, MD Co- Investigator
 accepted

CLINICAL TRIALS

Amicus (Small sub-investigator)	
Protocol GAU-CL-202 for the treatment of Gaucher's Disease	4/09-1/10
	\$300

SRFR-001 (Small PI) 9/07-9/09 Sirion Therapuetics Inc \$179,445 A Phase II Multicenter, Randomized, Double-Masked, Placibo-Controlled, Dose Comparison Study of the Safety and Efficacy of Fenretinide in the Treatment of Geographic Atrophy in Subjects with Age Related Macular Degeneration

VGFT-OD-0605 (Small PI) REGENERON INC A PHASE III Multicenter, Randomized, Double-Masked, Controlled, Dose Comparison Study of the Safety and Efficacy VEGF-TRAP (VGFT-OD-0605) in Subjects with Exudative Age related Macular Degeneration

RADICAL (Small PI) 10/07-10/09 QLT INC \$287,500 A Phase III, Multicenter, Randomized, Double-Masked, Controlled, Dose Comparison Study of the Safety and Efficacy of Photodynamic Therapy in Combination with Intravitreal Lucentis Injections Compared to Lucentis Injections as Monotherapy.

3

Brinzolamide / Timolol Study Group (Small PI) ALCON RESEARCH LTD 10/06-10/07 \$25,000

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Efficacy of Brinzolamide 1% / Timolol 0.5% Fixed Combination Compared to Brinzolamide 1% and Timolol0.5%

05/15/2003 = 12/31/2004EVE2508g (Small PI) Genetech, Inc. \$15,429 Protocol, FVF2508, "An Extension Study to Evaluate the Safety and Tolerability of Multiple-Dose Intravitreal Injections of rhuFabV2 in Subjects with Neovascular Age-Related Macular Desengration Who Have Completed the Treatment Phase of a Genetech-Sponsored Phase I or Phase I/II rhuFah V2 Study" SMS995 804 (Small, PI) 11/13/2000 - 01/01/2006Novartic Pharmacoutical \$359.562 Protocoal SMS9950804, "A Randomized, Controlled Study on the Efficacy and Safety of Sandostatin LAR in the Therapy of Patients with Moderately severe or non-proliferate retinonathy (NPDR) or low risk proliferate diabetic retinopathy (PDR). EOP1005C (Gonzales, PI) 9/6/2002-9/5/2004 EveTech Pharmaceuticals Inc. \$147.459.38 "A Phase II Randomized, Controlled, Double-Masked, Dose-Finding, Multi-Center, Comparative Trial, in Parallel Groups, to Establish the Safety and Preliminary Efficacy of Intravitreal Injections of EYE001 (Anti-VEGF Pegylated Aptamer), Given Every 6 Weeks for 12 to 30 Weeks to Patients with Clinically Significant Diabetic Macular Edema (CSME) Involving the Center of the Macula". Kent W. Small, M.D. Role: Co-Investigator EOP1006B (Gonzales, PI) 2/6/2003-2/11/2004 EveTech Pharmaceuticals Inc. \$159 336 00 A Randomized, Double-Masked, Multi-Center Trial of the Safety, Tolerability and Pharmacokinetics of 1 Mg/Eye and 3 Mg/Eve Intravitreal Injections of Pegaptanib Sodium (Anti-VEGF Pegylated Aptamer) Given Every 6 Weeks for 54 Weeks in Patients with Exudative Age-Related Macular Degeneration (AMD), Kent W. Small, M.D., Role: Co-Investigator EOP1004 (Gonzales PI) 10/16/2001-10/15/2003 (?) EveTech Pharmaceuticals, Inc. \$171,529.00 A phase II/III randomized, double-masked, controlled, dose-ranging Multicenter comparative trial, in parallel groups to establish the safety and efficacy of intravitreal injections of EYE-001 (anti-VEGF pervlated and an energy given every six weeks for 54 weeks in patients with exudative Age-Related Macular Degeneration. Kent W. Small, M.D. Role; Co-Investigator 05/01/2003 - 04/30/2004 Submacular Surgery Trials (Schwartz, PI) Johns Hopkins University/NIH/NEI \$56, 180 A randomized, multicenter trial to evaluate submacular surgery for removal of subfoveal choroidal neovascular lesions in selected nationts with Age-Related Macular Degeneration (AMD) compared to observation, patients with new, large and /or poorly demarcated subfoveal lesions. KWS Role: Co-Investigator B7A-MC-MBCM (Schwartz, PI) 08/27/2001 - 03/01/2005 \$167,565 Lily Research Laboratories Protein Kinase C B Inhibitor - Diabetic Retinopathy Study 2(PKC-RS2), A Phase III Clinical Trial. Kent W. Small, M.D. Role: Co-Investigator 05/15/2003 - 12/31/2004 FVF2508g (Kent W. Small, M.D. PI) \$15,429 Genentech, Inc. "An Extension Study to Evaluate the Safety and Tolerability of Multiple-Dose Intravitreal Injections of rhuFabV2 in Subjects with Neovascular Age-Related Macular Degeneration Who Have Completed the Treatment Phase of a Genentech-Sponsored Phase I or Phase I/II rhuFab V2 Study" SMS995 804 (Kent W. Small, M.D. PI) 11/13/2000 = 01/01/2006Novartis Pharmaceutical \$359,562 4

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Protocol SMS9950804, "A Randomized, Controlled Study on the Efficacy and Safety of Sandostatin LAR in the Therapy of Patients with Moderately severe or non-proliferate retinopathy (NPDR) or low risk proliferate diabetic retinopathy (PDR).

 FVF2587g (Kent W. Small, M.D. PI)
 02/10/2004 - 03/31/2006

 Genentech, Inc.
 \$840,292.00

 "A Phase III, Multicenter, Randomized, Double-Masked, Active Treatment-Controlled Study of the Efficacy and Safety of rhuFab Vs (Ranibizumab) Compared to Verteporfin (Visudyne) Photodynamic Therapy in Subjects with Predominantly Classic Subfoveal Neovascular Age-Related Macular Degeneration"

Iridex (PI: Kent W. Small, MD) 10/20/2000-4/20/2005 Transpupillary Thermotherapy of Occult Subfoveal Choroidal Neovascular Membranes in Patients with Age-Related Macular Degeneration (The TTT4CNV Clinical Trial)

Valeant, (PI Kent W. Small, MD) 2011-2014 Long lasting effects of photodynamic therapy as combination therapy with anti-VEGFs. \$10,000 Investigator Initiated Trial

CIRM (California Institute for Regenerative Medicine) (PI Shaomei Wang PhD) 2015-2018 IND-enabling study of subretinal delivery of human neural progenitor cells \$5,000,000 for the treatment of retinitis pigmentosa

Patents: U.S. Patent Application Serial No. 12/044,833 For: Suspended Slit Lamp Perkins Coie Ref. No.: 65677.8001.US00

Other Research Interests: (NOT FUNDED)

- 1. Silicone oil clinical trial. Principal Investigator: Kent W. Small, M.D. 1992-93
- Vitrectomy endophthalmitis clinical trial. Co-Investigator: Kent W. Small, M.D. 1992-1993
- 3. Richard James Silicone Oil Investigation. Co-Investigator: Kent W. Small, M.D. 1994-1996
- 4. Intraoperative Use of Perfluorocarbon Liquids: Co-Investigator: Kent W. Small, M.D. 1994-1996
- Gilead Sciences. duration 1 year. Intravitreal injection of cidifovir for recurrent CMV retinitis in AIDS patients. Principal Investigator: Kent W. Small, M.D. 1995-1996
- Laser to Drusen Trial. Principal Investigator for the Jules Stein Eye Institute: Kent W. Small, M.D. 1994-1996
- 8. Surgical Repair of Macular Holes Research Study, Co-Investigator: Kent,W. Small, M.D. 1994-present
- The artificial retina. Principal Investigator: Kent W. Small, M.D., Co-Investigators William Dawson, Ph.D., and Kieth Rambo, Ph.D. (University of Florida) 1992-1997
- Surgical Repair of Macular Holes with Silicone Oil. Principal -Investigator: Kent W. Small, M.D. 1997-1999
- Treatment of choroidal neovascularization from age-related macular degeneration with low dose external beam irradiation. AMDRT 1997-present. Principal Investigator: Kent W. Small, M.D.
- The Effectiveness of a Reading Training Program in Low Vision Patients with Age-related Macular Degeneration. Principal Investigator: Melissa Chun, O.D., Co-Investigator Kent W. Small, M.D. 1/2000-12/20001, no funding
- Vervet Research Colony as a Biomedical Resource, P40 Core support grant to NCRR, Lynn Fairbanks, PI, Kent Small, M.D., collaborator 2001-2004

COMMITTEE RESPONSIBILITIES:

- A. National
- B. UTMB
- C. Departmental

Other

D

Administrative duties, University Governance and Service (Florida):

- Search Committee for Department of Ophthalmology Chairman -1993-94.
- 2. Strategic Planning Committee for Center for Mammalian Genetics 1992 94.
- Residency Selection Committee, Department of Ophthalmology -1992-94.
- 4. Assistant Director of M.D./Ph.D. program at the University of Florida 1993 94.
- Member C.Q.I. (continuing quality improvement) Physician Facilitation team Shands Hospital (one of 12 selected physicians at U.F. to train other U.F. physicians in the C.Q.I. process - a new technique in assessing systems efficiencies) 1993- 94

Administrative duties, University Governance and Service (UCLA) Jul 1998 - Jun 2004:

- 1. Director of the Macula Center at the Jules Stein Eye Institute
- Advisor: Low Vision Center at The Jules Stein Eye Institute
- 3. Dept. of Ophthalmology Clinical Committee: member
- Dept. of Ophthalmology Research Committee: member
- Dept. of Ophthalmology Committee (provides policies and procedures for the academic Senate): member
- 6. Dept. of Ophthalmology Appointment, Academic Advancement and Promotion Committee; member
- Jules Stein Eye Institute Committee (for organized research units)
- Quality Assurance Committee of the Ophthalmology Service, UCLA Medical Center Medical Staff: member
- 9. Dept. of Ophthalmology Quality Assurance Committee: member
- Dept. of Ophthalmology, Ophthalmic Genetics Center: member
- Jules Stein Eye STAR Program Committee: member
- 12. Vision Genetics Center: member

TEACHING RESPONSIBILITIES AT UTMB:

A. Medical School

- B. GSBS, SAHS and SON as applicable
- C. Other

Presentations (abstracts presented at meetings)

Regional:

Annual Spring Cardiovascular Symposium, Duke University Medical Center "Changes in myocardial resistivity during global ischemia: On-line identification of the onset of severe but reversible ischemic injury." 10/85

North Carolina Medical Society Meeting 132nd Annual Scientific Session, Asheville, NC, "Vitamin A deficiency in American adults." 6/86

McPherson Hospital Scientific Presentations, Durham, NC "Pseudotumor oculi". 5/85

McPherson Hospital Scientific Presentations, Durham, NC "Fundus findings in primary oxalosis." 5/87

Jackson Mutant Mouse Lab, Bar Harbor, ME, "Genetics of macular degeneration" 7/89

North and South Carolina Ophthalmological Society, Charleston, SC "Electrophysiological Tests" 5/91

Duke University Alumni Meeting, Durham, N.C., "North Carolina Macular Dystrophy in Belize" 4/93 San Antonio Ophthalmological Society, "Ocular Genetics for the Clinician" 9/93

National

Christian Ophthalmology Society, Hilton Head, SC "Vitamin A and the eye" 7/88

Combined Retina Society and Macular Society Meeting, Boston, MA "North Carolina macular dystrophy: update" 5/89

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Ophthalmologic Genetics Study Club, New Orleans, LA "North Carolina macular dystrophy: genetics studies." 10/89

International Society of Genetics Eye Diseases, Atlanta, GA, "Genetics exclusion map of North Carolina macular dystrophy" 10/90

Retina Society, Key Largo, FL. "Pigmented paravenous retinochoroidal atrophy, discordant expression in monozygotic twins." 10/90

Christian Ophthalmology Society Meeting, Callaway Gardens, GA "Ocular Genetics Update." 6/91

Ophthalmologic Genetics Study Club, Anaheim, CA, Oct. "Alstrom's, a case misdiagnosed as Bardet-Biedel's". 10/91

Ophthalmologic Genetics Study Club, Anaheim, CA, "Autosomal dominant cone degeneration, a large single family study" 10/91

Association of University Radiologists, Chicago, IL, Keuthe DO, Small KW, Blinder RA: Are large magnetic fields safe for patients with metallic retinal tacks? 5/89.

FASEB Summer Symposium, Copper Mountain, CO, 7/95 Small KW. High resolution genetic map of the MCDR1 locus

International

International Society of Ocular Pathology, New Orleans, LA "Primary oxalosis: a clinicopathologic study" 10/89

International Pediatric Nephrology Association, Paris, France: Scheinman JI, Fallon MD, Small KW, Mahan JD, Letson RD, Klintworth GK: Primary hyperoxaluria (PHO): Common mitogenic effects on bone and retina. 6/89

Canadian Association of Physicists, Division of Medical and Biological Physics. Keuthe DO, Small KW, Blinder RA: Dynamic similarity to the Maxwell equations to determine if patients with metallic tacks in their eyes are safe in large magnets. 6/89

International Society of Genetics Eye Disease, Atlanta, GA. Small KW, Vance JM, Jones, MA, Hung W-Y, Yamaoka L, Roses AD, Pericak-Vance MA: Genetics linkage analysis in North Carolina macular dystrophy. 9/90

Walsh Neuroophthalmology Society Small KW, Buckley EG: Recurrent unilateral ptosis. Vancouver, British Columbia, CAN 8/88

European Society of Human Genetics "Mutation screening of the BIGH3 gene in patients with Keratoconus". Strasbourg, France, May 25-28th 2002.

Human Genome Organization, HUGO "Characterization of mutations within the FOXL2 gene in Blepharophimosis Ptosis Epicanthus Inversus patients and its evolutionary conservation in Fugu". 2002, Shanghai, China April 2002.

International Congress Of Human Genetics "Identification and Characterization of mutations in families affected with Corneal dystrophy". 10th 2001 Vienna, Austria, May 15 - 19, 2001

American Society of Human genetics "Characterization of mutations in families affected with Corneal dystrophy"., 50th ASHG, Pennsylvania, Philadelphia, 3-7 Oct 2000.

Human Genome Organization, "Physical map of North Carolina Macular degeneration - MCDR1 locus". HUGO'99, / Brisbane, Australia 1999.

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HUGO (Human Genome Organization) 2002, Shanghai, China April 2002., N. Udar, V. S. Yellore, M. Chalukya, S. Yelchits, R. Silva-Garcia, K. Small "Characterization of mutations within the FOXL2 gene in Blepharophimosis Ptosis Epicanthus Inversus patients and its evolutionary conservation in Fugu".

INTERNATIONAL CONGRESS OF HUMAN GENETICS 2001 Vienna, Austria, May 15 - 19, 2001, N. Udar, V. S. Yellore, M. Chalukya, S. Yelchits, R. Silva-Garcia, K. Small "Identification and Characterization of mutations in families affected with Corneal dystrophy".

10th HUGO '99, Brisbane, Australia 1999. N. Udar, V. S. Yellore, M. Chalukya, S. Yelchits, R. Silva-Garcia, K. Small "Physical map of North Carolina Macular degeneration – MCDR1 locus".

Invited Lectures: Regional:

Braille Institute, Los Angeles, CA "Macular degeneration: there is hope", 3/97

Midway Hospital Medical Center, Los Angeles, CA, New Advances in Retina: "New techniques in the management of diabetic retinopathy and venous occlusive disease. 1/97

Braille Institute, Los Angeles, CA "Macular degeneration: new therapies", 3/98

Southern California College of Optometry, Fullerton, CA, Retina and Low Vision Symposium, 1/99, "Macular diseases" University of California , Berkeley School of Optometry. The Sixth Annual Continuing Education in Southern California Lectureship and Symposium. 2/99

Cedars-Sinai Medical Center, Genetic Disease and the Eye. "Genetics of Macular Degeneration" Los Angeles, CA 1/99

Foundation Fighting Blindness, Symposium on new research in macular degeneration. Invited Speaker, Los Angeles, CA 4/99

Visions 99'. Sponsored by The Foundation Fighting Blindness. "Age-related macular degeneration, Stargardt's disease and Best's disease." July 1999.

Los Angeles Research Study Club, Universal City, CA, invited speaker, "Genetics of age-related macular degeneration" 1/2000

Greater Los Angeles Coding Network (GLACN) and Southern California Health Information Association (SCHIA). Ophthalmology and coding issues, Los Angeles, CA 2/2001

Greater Los Angeles Coding Network (GLACN) and Southern California Health Information Association (SCHIA). Ophthalmology and coding issues. Los Ángeles, CA 3/2002

California Academy of Ophthalmology: Age-related macular degeneration, update. Santa Monica, CA, 9/2002

Nebraska Academy of Ophthalmology: Age-related macular degeneration, update. Omaha, Nebraska 9/2002

Nebraska Academy of Ophthalmology: The macular dystrophies. Omaha, Nebraska 9/2002

Braille Institute, Los Angeles, CA "Macular degeneration: new therapies", 4/03 R and R: Retina and Rehabilitation. Invited keynote speaker "From Molecules to Magnifiers" 10/17/03 Point Clear AL

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8th Annual UCLA Research Conference on Aging, "Age-related macular degeneration, from molecules to magnifiers" Los Angeles, 6/03

Braille Institute, Los Angeles, CA "Macular degeneration: update", 3/04 Braille Institute, Los Angeles, CA "Macular degeneration: update", 3/05 Discovery Eye Foundation: "Macular Degeneration: update" 10/09 Skirball Center, Los Angeles, CA Blinded Veterans Association, Wadsworth VA Hospital, "Macular Degeneration: update" 3/14/2010

National

Association of Ophthalmic Photographers, Anaheim, CA "Using the hand held fundus camera". 10/91 University of Texas Southwestern, Dept. of Ophthalmology Dallas, TX, 1990, Hereditary macular degeneration.

University of Louisville, Dept, of Ophthalmology, Louisville, KY, 1990, Hereditary macular degeneration,

Washington University, Dept. of Ophthalmology, St. Louis, MO, 1990, Hereditary macular degeneration.

University of Texas, Dept. of Ophthalmology, San Antonio, TX, 1990, Hereditary macular degeneration.

Medical University of South Carolina, Dept. of Ophthalmology, Charleston, SC, 1990, Hereditary macular degeneration.

Medical College of Georgia, Dept. of Ophthalmology, Augusta, GA, 1990, Hereditary macular degeneration.

Hershey Medical Center, Dept. of Ophthalmology, Hershey, PA, 1990, Hereditary macular degeneration.

Johns Hopkins, Wilmer Eye Institute, Dept. of Ophthalmology, Baltimore, MD, 1991, Hereditary macular degeneration.

National Institutes of Health, National Eye Institute, Bethesda, MD, 1991, Hereditary macular degeneration.

University of West Virginia, Dept. of Ophthalmology, Morganton, W.Va., 1991, Hereditary macular degeneration.

Harvard Medical School, Massachusetts Eye and Ear Infirmary, Dept. of Ophthalmology, Boston, MA, 1991, Hereditary macular degeneration.

Pacific Medical Center, Dept. of Ophthalmology, Smith-Ketterwell Eye Research Institute, San Francisco, CA, 1991, Hereditary macular degeneration.

University of Nebraska, Dept. of Ophthalmology, Omaha, NE, 1991, Hereditary macular degeneration.

University of Minnesota, Dept. of Ophthalmology, Minneapolis, MN, 1991, Hereditary macular degeneration.

University of Cincinnati, Dept. of Ophthalmology, Cincinnati, OH, 1992, Hereditary macular degeneration.

University of South Florida, Dept. of Ophthalmology, Tampa, FL, 1992, Hereditary macular degeneration.

University of Florida, Dept. of Ophthalmology, Gainesville, FL, 1992, Hereditary macular degeneration.

University of Wisconsin, Dept. of Ophthalmology, Madison, WI, 1992, Hereditary macular degeneration.

Yale University, Dept. of Ophthalmology, New Haven, CT, 1992, Hereditary macular degeneration.

University of Texas, Houston, Dept. of Ophthalmology, Houston, TX, 1992, Hereditary macular degeneration.

Geisinger Medical Center, Dept. of Ophthalmology, Danville, PA, 1992, Hereditary macular degeneration.

University of Michigan, Dept. of Genetics, Ann Arbor, MI, 1992, Hereditary macular degeneration.

University of South Carolina, Dept. of Ophthalmology, Columbia, SC, 1992, Hereditary macular degeneration.

Texas Tech, Dept. of Ophthalmology, Lubbock, TX, 1992, Hereditary macular degeneration.

University of Minnesota, Dept. of Ophthalmology, Minneapolis, MN, 1992, Hereditary macular degeneration.

Tulane University School of Medicine, Dept. of Ophthalmology, New Orleans, LA, 1993, Hereditary macular degeneration.

Oschner Medical Center, Dept. of Ophthalmology, New Orleans, LA, 1993, Hereditary macular degeneration.

University of California, Los Angles (UCLA), Jules Stein Eye Institute, Dept. of Ophthalmology. 1994, Hereditary macular degeneration.

University of South Carolina, Dept. of Ophthalmology, Columbia, SC, 1994, Hereditary macular degeneration, B. cereus endophthalmitis

University of Pennsylvania, Dept. of Ophthalmology, Philadelphia, PA, 1994, Hereditary macular degeneration.

University of Wisconsin, Dept. of Ophthalmology, Madison, Wisconsin, 1994, Hereditary macular degeneration.

University of California, Berkeley, Berkeley, CA, 1995, Genetics of Myopia

University of Alabama, Birmingham, AL, Dept. of Ophthalmology, 1996, Hereditary macular degeneration.

Vanderbilt University, Nashville, TN, 1997, Hereditary macular degeneration.

University of California, Davis, 1998, Hereditary macular degeneration.

Mid Winter Vitreo-retinal Surgery Course, Sarasota, FL, "Should we treat retinitis pigmentosa with vitamins?" 2/94

Mid Winter Vitreo-retinal Surgery Course, Sarasota, FL, "The future of molecular genetics in ophthalmology" 2/94

Retina Society, Santa Fe, NM. "Autosomal Dominant Cone Degeneration Maps to Chromosome 17p" 10/95

Macula Society, Tucson, Arizona "North Carolina macular dystrophy in Central America" 2/96

Western Retina Study Club, Yosemite, CA, "Autosomal Dominant Cone Degeneration Maps to Chromosome 17p" 3/96

WAVE (Western Association of Vitreo-retinal Education), Maui, Hawaii, "Wide angel viewing using the AVI contact lens system" 7/96

WAVE (Western Association of Vitreo-retinal Education), Maui, Hawaii, "Perfluorocarbon liquids: indications, techniques and complications" 7/96

WAVE (Western Association of Vitreo-retinal Education), Maui, Hawaii, "ICG and Digital Imaging Experience at the Jules Stein Eye Institute/UCLA" 7/96

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Joint Commissions of Allied Health Professional Organization. Chicago, IL "Genetics of Eye Diseases" 10/96

Aspen Retinal Detachment Society, Aspen, CO, "Hereditary macular degenerations" 3/97

Aspen Retinal Detachment Society, Aspen, CO, "The artificial retina", 3/97

University of California at Davis, Sacrameñto, CA. 1/98 "Age-related macular degeneration and other inherited macular degenerations", grand rounds visiting professor

University of California, San Francisco, Dept. of Ophthalmology. 1998, Hereditary macular degeneration. grand rounds visiting professor

Medical University of South Carolina, Dept. of Ophthalmology, Charleston, SC, 4/99, "Hereditary macular degenerations" grand rounds visiting professor

Medical College of Virginia, Dept. of Ophthalmology, Richmond, VA. 3/2000 "Hereditary macular degenerations" grand rounds visiting professor

Small KW, Vu I, Glasgow B, Flannary J. Histopathologic study of North Carolina macular dystrophy. American Ophthalmological Society, Hot Springs, VA 5/2001 Abstract #24

University of Miami, Bascom Palmer Eye Institute, "North Carolina macular dystrophy". 3/ 2003

Louisiana State University Health Science Center. GUCY2D Gene Mutations in CORD5 Families and Evidence of Incomplete Penetrance 4 / 03

University of California, Irvine, GUCY2D Gene Mutations in CORD5 Families and Evidence of Incomplete Penetrance 5 03

University of California Irvine, "North Carolina macular dystrophy" 5/03

University of California Los Angeles, Center on Aging, invited Plenary speaker, "Macular Degeneration, from Molecules to Magnifiers" 6/03

International

First International Workshop of Human Chromosome 6, sponsored by HUGO (Human GenomeOrganization). Ann Arbor, Michigan, "North Carolina macular dystrophy maps to 6q14-q16.2". 6/92

University of Lille, France, 1998, North Carolina macular degeneration and the genetics of age-related macular degeneration

Hospital Evitar La Ciegueria, Mexico City, Mexico, 1996, Hereditary macular degeneration.

University of Iceland, Reykjavik, Iceland, 1998, Genetics of age-related macular degeneration.

ARVO (Association for Research in Vision and Ophthalmology) SIG (special interest group) Ft. Lauderdale, FL, 1995; "Hereditary macular degenerations" 5/95

American Academy of Ophthalmology, Atlanta, GA, ARVO Sponsored Special Symposium on "Advances in Molecular Genetics and Their Clinical Impact on Retinal and Choroidal Diseases" 10/95

Coloquio Manejo Medico Quirurgico del Trauma de Segmento Posterior sponsored by the La Sociedad Mexicana de Oftalmogia y La Association Mexicana de Retina, "Masa inflamatoria retineana subsecuente a trauma" 5/96

International European Union Meeting on "Genetics of Macular Degeneration", Amsterdam, Netherlands, "North Carolina macular dystrophy" 6/97

Macula Society, Florence, Italy, "North Carolina macular dystrophy in France" 6/97

Macula Society, Florence, Italy, Moderator: Inherited retinal and macular degenerations, 6/97

American Academy of Ophthalmology, 1997 Subspecialty Day, San Francisco, CA, "What to tell patients about the genetics of age-related macular degeneration" 10/97

American Academy of Ophthalmology, 1997 Subspecialty Day, San Francisco, CA, "Hereditary macular dystrophies" 10/97

The Retina Society Vancouver, British Columbia, CAN "North Carolina macular dystrophy (MCDR1) family in Texas maps to Chromosome 6q16" 9/97

American Academy of Ophthalmology, Vitreoretinal Update 1997. Invited Speaker "What do we tell our patients about the genetics of age-related macular degeneration?" San Francisco, CA, 10/97

American Academy of Ophthalmology, invited discussant, "Phenotype-genotype correlations of the keratoepithelin gene. 10/97

ARVO SIG (special interest group) Ft. Lauderdale, FL, "Hereditary macular degenerations", 5/97

American Academy of Ophthalmology, 1998, New Orleans, LA. Invited Speaker for ARVO symposium, "Inherited macular diseases."

Macula Society, San Diego, CA, Chair: Inherited retinal and macular degenerations, 2/99

Icelandic Ophthalmologic Society, invited speaker, "The genetics of age-related macular degeneration", Reykjavek, Iceland 3/99

Icelandic Ophthalmologic Society, invited speaker, "Inherited macular dystrophies", Reykjavek, Iceland 3/99

American Academy of Ophthalmology and the Pan-American Association of Ophthalmology. Course #602 "Surgical Management of Intraocular Infections" 1999, Orlando, Fl

Macula Society, Puerto Rico, "Histopathologic studies of dominant cone degeneration (CORD5)" 2/2000

33rd Panhellenic Ophthalmologic Congress; Thessaloniki, Greece, 5/24-27, 2000. "The genetics of age-related macular degeneration"

33nd Panhellenic Ophthalmologic Congress, Thessaloniki, Greece, 5/24-27, 2000. "Round table symposium new surgical methods in macular disease"

33rd Panhellenic Ophthalmologic Congress, Thessaloniki, Greece, 5/24-27, 2000. "Round table symposium photodynamic therapy in age related macular degeneration"

Retina Society, Coral Gables, FL, Silicon oil loss. 11/2000

Invited Speaker: Braille Insititute, Los Angeles, CA 3/2001, "Update of macular degeneration"

American Ophthalmological Society : Small KW, Vu I, Glasgow B, Flannary J. Histopathologic study of North Carolina macular dystrophy. Hot Springs, VA 5/2001 Abstract #24

Volunteer Physician Lectures: Rotary Humantarian Projects Sponsored Eye Clinic in Depensar, Indonesia 8/3-8/2001

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2nd Updates in Ophthalmology, Singapore National Eye Institute, "Submacular Surgery for choroidal neovascularization" 8/12/2001

2nd Updates in Ophthalmology, Singapore National Eye Institute, Chaired session on Age-related macular degneration. 8/12/2001

2nd Updates in Ophthalmology, Singapore National Eye Institute, "Clinical approach to macular dystrophies" 8/12/2001

Naples, Fl , Macula Society, 3/ 2003. GUCY2D Gene Mutations in CORD5 Families and Evidence of Incomplete Penetrance

Queen's University, Belfast Ireland, invited speaker, "Age-Related Macular Degeneration, from Molecules to Magnifiers." 9/03

Anaheim, CA, Subspecialty Day, American Academy of Ophthalmology, invited speaker, "Genetic Testing in the Clinic" 11/03

Boulder, CO, SiRNA Therapeutics, "Therapy of ocular diseases." 1/8/04 SIRNA,

Barcelona, Spain, invited guest lecturer by Prof. Borja Cocostegui MD, "North Carolina macular dystrophy" 6/05

Cape Town, South Africa, The Retina Society "Avastin (bevazizumab) for wet AMD, 6 month data" 10/06

San Diego, CA, The Retina Society, "New mutations in enhanced s-cone / Goldmann-Favre" 10/05

London, UK The Macula Society, "Combination therapy (anti-VEGFs with PDT) for wet AMD" 6/07

Oahu, Hawaii, PCOOS Pacific Coast Ophthalmology and Oto-Laryngology Society. "Combination therapy (anti-VEGFs with PDT) for wet AMD" 7/07

Marbella, Spain, 7th International Age-Related Macular Degeneration (AMD) Congress, , "Combination therapy (anti-VEGFs with PDT) for wet AMD" 10/07

Indian Wells, CA, The Macula Society, "Combination therapy (anti-VEGFs with PDT) for wet AMD" 12/07

Hue, Viet Nam. ICEM (2nd Imperial City Eye Meeting) 2008. Macular Degeneration, examination techniques 6/08

Hue, Viet Nam. ICEM (Imperial City Eye Meeting) 2008. Macular Degeneration, special testing 6/08

Hue, Viet Nam. ICEM (Imperial City Eye Meeting) 2008. Macular Degeneration, medical treatments 6/08

Hue, Viet Nam. ICEM (Imperial City Eye Meeting) 2008. Macular Degeneration, surgical treatments 6/08

Nuku' Olafa, Tonga: Diabetic Retinopathy update 9/09

Kona, Hawaii, Hawaiian Eye Meeting, 2013 Presentation of fungal endophthalmitis outbreak following intravitreal injections of triamcinolone contaminated by a compounding pharmacy

Dana Point, CA, Macula Society, 2013, Presentation of fungal endophthalmitis outbreak following intravitreal injections of triamcinolone contaminated by a compounding pharmacy

La Jolla, CA, American Ophthalmological Society, 2013, Presentation of fungal endophthalmitis outbreak following intravitreal injections of triamcinolone contaminated by a compounding pharmacy

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Toronto, Canada, American Society of Retinal Specialist, 2013, Presentation of fungal endophthalmitis outbreak following intravitreal injections of triamcinolone contaminated by a compounding pharmacy

Vienna, Austria. American Society of Retina Specialists. Aspirin use in age-related macular degeneration, 7/2015

Philadelphia, PA, Temple University Alumni Day: Invited Grand Rounds Speaker: Dealing with an outbreak of fungal endophthalmitis due to contaminated triamcinolone from a compounding pharmacy.

Philadelphia, PA: Temple University: Invited speaker for resident's day: North Carolina Macular Dystrophy: gene found!

MEMBERSHIP IN SCIENTIFIC SOCIETIES:

American Medical Informatics Association 12/03 - present The American Academy of Ophthalmology, 1985 - present, American Medical Association: 1985 - present. The Association for Research in Vision and Ophthalmology (ARVO): 1985 - present. American Society of Human Genetics: 1988 - present. American Association for the Advancement of Science: 1988 -present Ophthalmic Genetics Study Club: 1990 - present. Christian Ophthalmological Society: 1990 - present. The Macula Society: 1994- present The Los Angeles County Medical Society: 1994- present California Ophthalmological Association: 1994-present California Medical Association, 1995 - present Western Retina Study Club, 1996 - present The Retina Society, 1996 - present Internet Ophthalmology Society, 1995-present The American Ophthalmological Society Associate (AOS) 1998 - present www.aosonline.org The American College of Physician Executives 1997 - present Pan-American Association of Ophthalmology 1999 - present National Association for the Visually Handicapped (NAVH http://www.navh.org), member Medical Advisory Board 2000- present Member program committee for The American Ophthalmological Society (AOS) 2003-2006

BOARD CERTIFICATION: American Board of Ophthalmology, 1989

LICENSURE INFORMATION:

Louisiana Board of Medical Examiners, license #16124 6/18/81 – 10/04 North Carolina Board of Medical Examiners License, license #28088 6/12/84 - present South Carolina Board of Medical Examiners license #15175 10/5/90 - present Massachusetts Board of Registration in Medicine license #75230 12/91-12/92 Maryland Board of Medical Examiners license #042715 12/91 - 12/93 Florida, Department of Professional Regulation, license # ME 0065156 10/93-present California Board of Medical Examiners license # A053173 issued 6/8/94- 10/31/05 Tennessee Board of Medical Examiners license # 30080 issued 4/98-4/99 Mississippi State Board of Medical Examiners License # 16564 issued 10/4/99

HONORS:

Top Doctors in Southern California: 2009 (LA Times) Outstanding Scientist of the 21st Century, elected 11/14/2001 Life member of Kineston's National Registry of Who's Who in the 2002 edition:

American Ophthalmological Society, elected full member 6/2001 American Ophthalmological Society, elected associate 6/98 Honor Award from the American Academy of Onhthalmology, received 1998 National Institutes of Health, National Eye Institute Visual Science C Study Section, temporary member, 10/97 National Institutes of Health, National Eye Institute Visual Science B Study Section, Ad Hoc member, 8/97 Who's Who in Diabetes Treatment Education, and Research named 1996 Best Doctors in Los Angeles, named by the Los Angeles Magazine, 1996 American Men and Women of Science: named 1997 Best Doctors in America, named in 1996 - present Best Doctors in America, Pacific Region, named in 1996 Attending of the Year, 1992-93, elected by the residents, University of Florida Jacob Javits Fellow 12/88 - 11/90 Diplomat American Board of Ophthalmology: 11/14/1989 - present The First Chief Resident in Ophthalmology at the Duke Eye Center 5/87 - 12/87 Cabarrus Surgical Fellowshin - 1984 - 1985 Alpha Omega Alpha Honor Medical Society: initiated 1980 - present. American Cancer Association Research Externship 1979 Alpha Omega Alpha: Elected 1980 Tulane University Medical School Phi Eta Sigma Honor Society - elected 1976 L.S.U Phi Kappa Phi Honor Society - elected 1976 L.S.U. Alpha Epsilon Delta Honor Society - elected 1976 L S U Dean's List L.S.U. - all seven semesters, 8/74 - 8/77

ADDITIONAL INFORMATION: Include pertinent information concerning your educational and scientific background, and activities that do not fit into the categories previously listed.

Consultations Outside the University (U.F. and UCLA): Retina consultation at Veterans Administration Hospital, Gainesville, FL: 1992 - 94. Retina consultation at North Florida Eye Center in Lake City, FL. July 1993 - 94 Retina consultations at The Valley Eye Center in Van Nuys, CA 4/95-5/97 Retina consultations at Wadsworth Veterans Administration Hospital, Los Angeles, CA 6/95- present Agouron Pharmaceuticals, LaJolla, CA, Scientific Advisory Board - member, 1998-2000 DeCode, Revikavik, Iceland, Scientific Advisor, 1998

Editor of Scholarly Journal, Service on an Editorial Advisory Board, or Reviewer of a Scholarly Journal: Editorial Board: Molecular Vision 2000-present Guest Editor: Investigative Ophthalmology and Visual Sciences 2001 Guest Editor: Investigative Ophthalmology and Visual Sciences 1999 Editorial Board: Ophthalmic Genetics 1995-2000 Archives of Ophthalmology: Reviewer 1989 - present Investigative Ophthalmology: Reviewer 1989 - present Ophthalmology: Reviewer 1991 - present Canadian Research Council: Grant reviewer - 1991 present; reviews one grant per year. Canadian Retinitis Pigmentosa Foundation: Grant reviewer - 1991- present: reviews one grant per year. Foundation Fighting Blindness, grant reviewer, 1994-present Ophthalmic Genetics: Reviewer - 1992 - present Retina: 1995-present ARVO moderator 1994, Molecular genetics ARVO moderator 1995 Molecular genetics ARVO moderator 1996, Molecular genetics American Journal of Ophthalmology 95-present Genomics, 1996-present Retina, 1997-present Human Molecular Genetics 1998-present

American Journal of Human Genetics 1998-present Molecular Vision, 1998 – present Editorial Board member Molecular Vision 2000-present Journal of the American Association of Pediatric Ophthalmology and Strabismus: reviewer 2000- present Welcome Foundation: grant reviewer 2001- present Cornea: 2000 – present Health Psychology: 2004

International Activities: <u>Activity:</u> moderator at ARVO (Association for research in vision and ophthalmology), June 1994, Service: moderator: retinal genetics section

<u>Activity:</u> moderator at ARVO (Association for research in vision and ophthalmology), June 1995, Service: moderator: retinal genetics section

<u>Activity</u>: moderator at ARVO (Association for research in vision and ophthalmology), June 1996, Service: moderator; retinal genetics section

<u>Activity:</u> Studied families in Belize, Central America with inherited retinal degenerations. <u>Service:</u> Performed eye examinations on indigent population in Belize, Central America. <u>Research:</u> Ascertaining families with rare inherited eye diseases and performed molecular genetic studies.

<u>Activity:</u> Participation at the First International Workshop on Human Chromosome 6 Research: Develop genetics linkage map of chromosome 6 for HUGO (Human Genome Organization).

<u>Activity:</u> Studied families in northern France with inherited macular degeneration in collaboration with Professor Bernard Puech in Lille, France. <u>Service:</u> Performed eye examinations on families

Research: Ascertained families with rare inherited eye diseases and performed molecular genetics studies

Activity: Representative of the Jules Stein Eye Institute and the Paul Kaiser Foundation of the Pan-American Ophthalmological Association to Mexico City, May 13-18, 1996. Service: examined indigent patients with retinal diseases at The Hospital Evitar La Ciegeuria in Mexico City Research: Set up collaborations for studying inherited ocular diseases in Mexican families.

Activity: WAVE (Western Association of Vitreo-retinal Education), Maui, Hawaii WAVE (Western Association of Vitreo-retinal Education), Moderator Wide Angle Viewing Session, Maui, 7/96

Activity: Established the international consortium to map and clone macular degeneration genes. 1997

Activity For Last 10 Years

	<u>1999-94</u>	<u>1994-1993</u>	<u>1993-1992</u>	<u>1991-1990</u>	<u>1990-1989</u>	<u>1988-1989</u>
Teaching	5%	10%	10%	5%	5%	10%
Research	10%	10%	10%	75%	95%	10%
Service	85%	80%	80%	20%	0%	0%
Extension	0%	_0%	<u>0%</u>	<u>0%</u>	<u>0%</u>	80%
	100%	100%	100%	100%	100%	100%

Teaching, Advising, and/or Instructional Accomplishments (UF):

Dr. Small actively participates in the advising of residents for post-graduate training and practice of ophthalmology and retinal/vitreous diseases. 1990 - Present

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1.	Lecture to Re	sidents - Monthly	One Hour Lectures:	
	1991	Jan:	General Genetics 1	
	(Feb.	Ocular Genetics 1	
	1	Mar:	Ocular Genetics 2	
		April:	Electro-retinography 1	
		May	Electro- retinography 2	
		June	Inherited Retinal Degenerations 1	
		July	Inherited Retinal Degenerations 2	
		August:	Inherited macular degeneration	
		Sept.:	Uveitis 1	
		Oct.:	Uveitis 2	
	1992:	Aug.:	Retinal Detachment 1	
		Sept.:	Retinal Detachment 2	
		Oct.:	Diabetic Retinopathy 1	
		Nov.:	Diabetic Retinopathy 2	
		Dec.:	Diabetic Retinopathy 3	
	1993:	Jan.:	Age-Related Macular Degeneration 1	
		Feb.:	Age-Related Macular Degeneration 2	
		Mar:	Inherited Retinal Degenerations	
		April:	Electro-retinopathy	
		May:	Uveitis I	
		June:	Uveitis 2	
		Aug.:	Retinal Detachment 1	
		Sept.:	Retinal Detachment 2	
		Oct.:	Diabetic Retinopathy 1	
		Nov.:	Diabetic Retinopathy 2	
		Dec.:	Diabetic Retinopathy 3	
	1994:	Jan.:	Age-Related Macular Degeneration 1	
		Feb.:	Age-Related Macular Degeneration 2	
		Mar:	Inherited Retinal Degenerations	
		April:	Electro-retinopathy	
-		May:		
Ζ.	Lectures to P	viedical Students:	1992-present on:	
	Lu	anal Kelinal Artery	othy	
	Dia	batic Potinopathy	auty	
		dar Sarcoidorie		
	. 411	S - Retinonathy		
	Ma	cular Degeneration		
	Wo	rkshon on Molecu	lar Genetics	
3. PM	Clinical teac	hing during retina	clinic to 1st, 2nd, and 3rd year residents - every Monday, 8:00 am -	7:00
4	Clinical teac	hing during VA R	etina Clinic to 1st 2nd and 3rd year residents - every Wednesday	2:00 PM -
 4.00 P	M		cana charter to, and the your residence story froundoday, I	
5.	Surgical tea	ching to 2nd year r	esidents 3-4 times ner week and once every other week at the VA	
6.	Lecture sem	inar to Center for 1	Mammalian Genetics: "Hereditary Macular Degeneration" -	1992-93
6.	Lecture sem	inar to Center for]	Mammalian Genetics: "Hereditary Macular Degeneration" -	199

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RESIDENT'S TEACHING EVALUATIONS (UF) (18 Residents/Year) Kent Wilson Small 1992-93 1993-94 Dr. Small Dept. Avg. Dr. Small/ Dept. Avg

1

Didactic Teaching; lectures & conferences		
Punctuality & dependability	1.3/1.9	1.8/2.2
Teaching Skills	1.5/1.7	1,3/2.0
Provides useful clinical information	1.4/1.8	1.5/1.9
Gives sufficient lectures	1,5/2.1	1.3/1.9
Encourages questions/comments	1.5/2.1	1.7/2.1
Clinical Duties		
Time spent teaching (in clinic)	1.7/2.2	. 2.1/2.3
Clinic run in orderly manner	2.3/2.3	1.2/1.8
Approachable for questions	1.2/2.0	1.3/2.0
Establishes patient rapport	1.6/1.9	1.3/1.9
Treats clinic staff respectfully	1.4/2.0	1.2/2.2
Avoids outbursts of anger	1.2/2.0	1.2/1.9
Allows resident participation	1.5/2.0	1.6/2.1
Fully available for call	1.3/2.0	1.3/2.0
Administrative/Research		
Active in own research	1.2/1.8	1.0/2.2
Departmental duties	1.9/1.9	1.5/2.3
Active in political issues	2.0/1.9	1.6/2.2
Enhances Dept.	1.2/2.1	

Rating Scale:(1) Outstanding; (2) Above Average; (3) Average; (4) Unsatisfactory; (5) Totally Inadequate "No comparable college mean available" This was required

Teaching Evaluation					`
RESIDENT	I'S TEACHING	S EVALUATION	S (UCLA)		
(21 Residents/Year) score	=1 is poor, 10.0*	= best; note this is	opposite scoring	g from UF	
	Dr. Small's sco	re/dept. average			
	Kent Wi	lson Small			
	94-95	95-96	96-97	97-98	98-99
Has command of the subject	7.0/6.5	6.3/6.3	7.0/6.6	6.8/6.4	6.5/6.6
presents material in organized, clear manner	7.0/6.3	6.0/6.2	6.7/6.5	6.8/6.3	6.5/6.0
Sensitive to response of students, house-staff	7.0/5.9	6.4/5.9	6.5/6.4	6.7/5.9	6.1/5.8
Available to and friendly towards house-staff	7.0/6.1	6.7/6.0	6.7/6.3	6.8/5.9	6.4/5.9
Enjoys teaching and is enthusiastic about the subject deeply interested in patient	7.0/6.2	6.5/6.1	6.5/6.5	6.8/6.1	6.4/6.0
care: often makes contributions	6.5/6.1	6.4/6.1	7.0/6.6	6.7/6.1	6.5/6.1
Meets appointments; punctual How does the instructor compare with other clinical	7.0/6.1	5.3/6.0	7.0/6.3	6.5/6.1	6.0/6.0
teachers you have had at UCLA	6.5/6.1	6.1/5.9	6.7/6.5	6.8/6.0	6.1/5.8
And Teal Medical Student Statuations					

 Teaching Evaluations (Approximately 100 Students) (Evaluations based 3 Lectures)

 Department

 184

Department 1.84 Average Dr. Kent Small 1.80

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Note: The Department of Ophthalmology concentrates its formal teaching of medical students into two weeks. The evaluation of our faculty occurs only for that lecture series. Their evaluations of Dr. Small's lectures are shown above.

Teaching, Advising, and/or Instructional Accomplishments (at UCLA): Jules Stein Eye Institute, Clinical Teaching Conference, lecture: "vitrectomy for ocular toxocariasis" 1 hour, 11/94 Jules Stein Eye Institute, Vision Genetic Meeting, lecture: "molecular genetics of macular degeneration" 1 hour, 11/94 Jules Stein Eye Institute, Clinical Science Series Seminar, administered the JSEI weekly guiz, 10/94

Jules Stein Eye Institute, 1 hour discussion of retinal research activity with residents, 12/94 Jules Stein Eye Institute, CMV retinopathy study group, presentation: "use of intravitreal cidofovir" I hour lecture,

1/95 Jules Stein Eye Institute, Vision Genetics Meeting, lecture: molecular genetics of autosomal dominant cone

degeneration maps to chromosome 17p" 1 hour lecture, 1/95

Jules Stein Eye Institute, Retinitis Pigmentosa Study Group, presentation "positional cloning of the North Carolina macular dystrophy gene" 1 hour lecture, 4/95

Jules Stein Eye Institute, Clinical Seminars Series, Moderator of Grand Rounds, 4/95

Jules Stein Eye Institute, Basic Science Series Seminar, "selective hybridization cloning of the North Carolina macular dystrophy gene" 1 hour lecture, 6/95

Jules Stein Eye Institute, Clinical Science Series Seminar, Moderator of Grand Rounds, 6/95

Jules Stein Eye Institute, Clinical Science Series Seminar, Moderator of Grand Rounds, 9/95

Jules Stein Eye Institute, Clinical Science Series Seminar, administered the JSEI weekly quiz, 10/95 Jules Stein Eye Institute, Clinical Science Series Seminar, administered the JSEI weekly quiz, 10/96

Jules Stein Eye Institute, Clinical Science Series Seminar, Moderator of Grand Rounds, 11/9 Jules Stein Eye Institute, Clinical Science Series Seminar, administered the JSEI weekly quiz, 10/97

Jules Stein Eye Institute, Clinical Science Series Seminar, Moderator of Grand Rounds, 11/97

Jules Stein Eye Institute, Clinical Science Series Seminar, administered the JSEI weekly guiz, 10/98

Jules Stein Eye Institute, Clinical Science Series Seminar, Moderator of Grand Rounds, 11/98

Jules Stein Eye Institute, Clinical Science Series Seminar, administered the JSEI weekly quiz, 10/99

Jules Stein Eye Institute, Clinical Science Series Seminar, Moderator of Grand Rounds, 11/99

Jules Stein Eye Institute, Clinical Science Series Seminar, administered the JSEI weekly quiz, 10/00 Jules Stein Eye Institute, Clinical Science Series Seminar, Moderator of Grand Rounds, 11/00

Jules Stein Eye Institute, Basic Science Series Seminar, "Ocular Genetics" 10/01

Jules Stein Eye Institute, "The genetics of myopia" Myopia for the Year 2000; clinical and research perspectives, 2/96

Jules Stein Eye Institute, Clinical Fundamentals Course M201 for Medial Students - Instructor 3 hours, 9/94 Jules Stein Eve Institute, Clinical Fundamentals Course M201 for Medial Students - Instructor 3 hours, 2/95 Jules Stein Eye Institute, Clinical Fundamentals Course M201 for Medial Students - Instructor 3 hours, 9/95 Jules Stein Eye Institute, Clinical Fundamentals Course M201 for Medial Students - Instructor 3 hours, 2/96 Jules Stein Eye Institute, Clinical Fundamentals Course M201 for Medial Students - Instructor 3 hours, 9/96 Jules Stein Eve Institute, Clinical Fundamentals Course M201 for Medial Students - Instructor 3 hours, 2/97 Jules Stein Eye Institute, Clinical Fundamentals Course M201 for Medial Students - Instructor 3 hours, 10/97 Jules Stein Eye Institute, Clinical Fundamentals Course M201 for Medial Students - Instructor 3 hours, 4/98 Jules Stein Eye Institute, Clinical Fundamentals Course M201 for Medial Students - Instructor 3 hours, 4/99 Jules Stein Eye Institute, Clinical Fundamentals Course M201 for Medial Students - Instructor 3 hours, 11/2000 Jules Stein Eve Institute, Clinical Fundamentals Course M201 for Medial Students - Instructor 3 hours, 11/2001 Jules Stein Eye Institute, Clinical Fundamentals Course M201 for Medial Students - Instructor 3 hours, 11/2002 "Hereditary Macular Disease" Jules Stein / UCLA Post Graduate Seminar, Los Angeles, CA , 3/96 "Laser to Drusen Trial" Jules Stein / UCLA Post Graduate Seminar, Los Angeles, CA, 3/96 "Silicon Retina / Retinal Transplants" Jules Stein / UCLA Post Graduate Seminar, Los Angeles, CA, 3/96 "Macular Hole Surgery" Jules Stein / UCLA Post Graduate Seminar, Los Angeles, CA, 3/96 "Phototoxicity" Jules Stein / UCLA Post Graduate Seminar, Los Angeles, CA, 3/96 Co-Moderator of The Jules Stein / UCLA Post Graduate Seminar, Los Angeles, CA, 3/96 "Complications of perfluorocarbon liquids" The New Age of Perfluoron Liquids Course by Infinitec, Los Angeles,

CA, 5/96

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"New Age of Perfluoron Liquids" Course by Infinitec, Co-Moderator, 5/96 "Hereditary retinal degenerations", Jules Stein Eye Institute Clinical Science Lectures Series: 3 hours, 10/96

"Macular degeneration", Jules Stein Eye Institute Clinical Science Lectures Series: 3 hours, 10/96 Initiated and maintained the macular degeneration support group at UCLA, 2/97- present

UCLA Intercampus Medical Genetics Training Program, Graduate Course in Genetics: 3 hours lecture: "review of ophthalmic genetics" 4/97

"Surgical macular diseases" Jules Stein / UCLA Post Graduate Seminar, Comprehensive Eye Care Update for the Practitioner, Los Angeles, CA, 4/98

"The Aging Eye" Biology of Aging course (MCD Biology CM 149/Pathology M262) 4/98 2 hour lecture for senior undergraduates

"Hereditary retinal degenerations", Jules Stein Eye Institute Clinical Science Lectures Series: 4/99 "What's new in age-related macular degeneration" Jules Stein Eye Institute Clinical Science Lectures Series: 1/2001 Moderator: Jules Stein Eye Institute Clinical Science Lectures Series: 1/2001

"Update on macular degeneration" Jules Stein Eve Institute Clinical Science Lectures Series: 1/2001

"Age-related macular degeneration: update" 10/2002 Invited Speaker Braille Institute, Los Angeles, CA 3/2001 "Update on macular degeneration" Jules Stein Eye Institute Clinical Science Lectures Series: 10/2002

Moderator: Jules Stein Eye Institute Clinical Science Lectures Series: 10/2002 "Finding the genes of AMD" Jules Stein / UCLA Post Graduate Seminar, Los Angeles, CA, 4/2003 "Cone-Rod Dystrophies" Jules Stein / UCLA Post Graduate Seminar, Los Angeles, CA, 34/2003 "AREDS, new findings" Jules Stein / UCLA Post Graduate Seminar, Los Angeles, CA, 4/2003 Moderator: Jules Stein / UCLA Post Graduate Seminar, Los Angeles, CA, 4/2003

Teaching Accomplishments in Laboratory Molecular Genetics

name	year	status
Kay Kelley, Ph.D.,	1992-1994	Biological Scientist UF
Anthony Sanchez, M.S.	1992-1994	Biological Scientist UF
Svetlana Yelchits, Ph.D.	1992-1997	Biological Scientist UF and UCLA
Lynne Mullen, BS	1992-1997	Biological Scientist UF and UCLA
Lacretia Fisher, BS	1992-1994	Medical Student UF
Mike Stalvey, BS	1992-1994	Graduate Student UF
Nitin Udar, Ph.D.,	1996-1997	UCLA post-doc
James Fink, BS	1995	UCLA medical student
Shiang Do	1995-96	UCLA undergraduate
Christine Chin	1996	UCLA undergraduate
Pamela Golchet	1996-97	UCLA undergraduate
Steven Mellul, BS	1996	Hanneman University medical student
Sara Gislison	1998	UCLA undergraduate
Gleitzman Medical Student G	eriatric Scholars Program	took one student each year in the lab 1995-1999
Nancy Padilla	1998-2000	UCLA undergraduate
Jessica Felipe	1998-2000	UCLA undergraduate
Tara Anderson	1998-2001	UCLA undergraduate
Allen Shirvanian	1998-2000	UCLA undergraduate
Liezel Morales	1998-present	UCLA undergraduate
Pranav Vyas	1998-2000	UCLA post-doc
Vivek Yellore	2000-present	UCLA post-doc

Graduate Faculty Status:

Department of Biochemistry and Molecular Biochemistry and Molecular Biology, University of Florida - Affiliate member: 1992 - 94.

Faculty of School of Graduate Studies, Medical University of South Carolina, Charleston: 1991.

Graduate Committee Activities: Member, School of Graduate Education, Medical University of South Carolina, Charleston ,SC 1991 Assistant Director of M.D./Ph.D. program. University of Florida: 1993 - 94

<u>Courses Attended</u> (attended without giving a presentation) Course in Mammalian Genetics, Bar Harbor, Maine, 1989 Genetic Linkage Analysis, Basic Course, Columbia University, by Jurg Ott, Ph.D. 1994 Leadership and Decision Making in Organizations, Anderson School of Business, UCLA, Los Angeles CA, 11/12-15/96

CPT Coding Course sponsored by The California Association of Ophthalmology 1995 CPT Coding Course sponsored by The California Association of Ophthalmology 1996 CPT Coding Course sponsored by The California Association of Ophthalmology 1997 UCLA Teaching Physician Regulations for Medicare and Medicaid compliance, 1997 UCLA CPT coding course, 1997

UCLA Anderson School of Business and Management 295A: New Venture Initiation, audited and completed, 1997

UCLA Anderson School of Business and Management 298D15: Business Plan Development and Writing, audited and completed 1997

The AUPO (Association of University Professors of Ophthalmology) Management Program, 1997 Advanced Genetic Linkage Analysis Course of Complex Traits, Rockefeller University, by Jurg Ott, Ph.D. 1997 UCLA Anderson School of Business and Management: Executive business course in finances 6/98

S.A.G.E. Course in genetic segregation analysis and non-parametirc genetic studies. Given by Robert Elston. Costa Mesa, CA 1999

Summit Technology, Photorefractive Keratectomy and Toric PRK. 11/14/99

Summit Technology, Microkeratome Training. 11/14/99

Summit Technology, Photorefractive Keratectomy and Toric PRK, 11/14/99

Summit Technology, Photorefractive Keratectomy . 11/14/99

Ophthalmology Coding, sponsored by the California Ophthalmology Association, 6/2000 Ophthalmology Coding, sponsored by the California Ophthalmology Association, 6/1998 Ophthalmology Coding, sponsored by the California Ophthalmology Association, 6/1997 Ophthalmology Coding, sponsored by the California Ophthalmology Association, 6/1996 Ophthalmology Coding, sponsored by the California Ophthalmology Association, 1/2003 Publicity / Public Interviews

<u>1992 </u>

Associated Press release of mapping macular degeneration gene, published in many newspapers across the country. Science News, The weekly Newsmagazine of Science. (International publication) July 18, 1992, vol. 142, pg. 37. Featured section on Dr. Small's mapping of the macular degeneration gene.

1993;

<u>Gainesville Sun</u> announcing Dr. Small's Jules and Doris Stein Research to Prevent Blindness Professorship. <u>WTVD TV, channel 11, "Health Spot</u>" interviewed Dr. Small on genetics of macular degeneration. <u>Friday Evening Post</u> (University of Florida paper) announcing the Jules and Doris Stein RPB Award. <u>The Tulane Medicine</u>, New Orleans, LA announcing Dr. Small's mapping of the macular degeneration gene <u>Duke University Eve Center Alumni 1992 Report</u>: one page feature of Dr. Small's mapping of the macular

degeneration gene

The University of Florida Health Science Center Annual Report 1992-93. Featured article on Dr. Small and The Center for Mammalian Genetics.

<u>Visions, News and Views from the College of Medicine</u> featured section on Dr. Small and his research. May 1993 <u>The Research to Prevent Blindness Progress Report 1993</u>, section on Dr. Small and his research. <u>The Research to Prevent Blindness Annual Report 1992</u>, Featured section on Dr. Small and his research, pg. 10. <u>The Florida Physician</u> Fall 1993, pg. 15, section on Dr. Small and his research

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<u>Associated Press release</u>: Nov. 22, 1993, TV appearance describing research of locating the macular degeneration gene- this release was aired by many local and national news stations across the country (judging by the phone calls received) <u>CNBC</u> national cable news network "Medical Beat", WTVD 11 Gainesville and Ocala are some examples,

The Friday Evening Post Nov. 19, 1993, announcing the NIH grant (RO-1) \$1,000,000 which Dr. Small is Pl on

The Gainesville Sun, Nov. 16, 1993, full page featured article on Dr. Small and his research 1994

KCAL radio station, San Francisco, CA., interview, Macular Degeneration 1995

Ophthalmology World News, The Independent Newspaper of the American Academy of Ophthalmology. volume 1, number 12, 1995, pg. 14-16 "The Genetics Key to AMD"

1996 KCAL-9 TV station 1/19/96. Macular Degeneration interview

"Researchers find an easy switch from manual to automated genetics analysis" Biosystems Reporter volume 1, 1996

Asheville Citizen Times, Aug. 1996. "Researcher works with family with rare eye disorder".

Los Angeles Magazine, Kent Small, M.D. named as one of LA's best doctors Nov. 1996 1997

Senior World Newsmagazine vol. 11, May 1997, page 30. "Preventive care critical for aging eyes" 1999

"Genetic Eye Disease: Will you be prepared for the future?" in Ophthalmology Management April, 1999, pp105-108,

MDForum: Internet Macular degeneration forum 10/1999 <u>http://members.aol.com/danlrob/MDpeople/clinic.html</u> DNA.com internet chat interview "The genetics and treatment of degenerative retinal diseases" 8/17/200 www.dna.com/deventTranscript

KNBC: television interview about Stargardt disease and the American Olympic runner Marla Runyon. 9/29/2000

OCT (Orange County Times TV) Age-related macular degeneration and genetics of macular diseases 3/ 2001

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MISC INFO.

Current Medicaid #: GR0058400 / California

UPIN # C86490 Current Malpractice Insurance: Medical Protective \$2,000,000 / 4,000,000 Medicare California #: 18491A DEA # BS 2498548

Other Activities:

Blacknall Memorial Presbyterian Church, Durham,NC: member 7/82-10/95 Bel Air Presbyterian Church, Los Angeles, CA: member 10/95-present Steering Committee member of Christian Family Fellowship Sunday School Class at Bel Air Presbyterian Church: 10/95-present

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Deacon: Bel Air Presbyterian Church, Los Angeles, CA: 1/09- 12/12

UCLA Master's Swim Team: member May 1996-present Medaled in 200 m butterfly in Nationals Meet 2009 Fresno, CA

Extra in feature film "Naturally Native", a film about modern Native American issues Volunteer: Los Angeles Mission soup kitchen, 1998-present Volunteer Physician: Rotary Humanitarian Projects Sponsored Eye Clinic in Denpensar, Indonesia 8/3-8/2001

Steering Committee member of Youth Ministry at Bel Air Presbyterian Church: 10/01-present

Medical Mission trip to Port Villa, Vanuatu through S.E.E. International to study families with inherited eye disease and perform surgery 8/2002

Medical Mission trip: Volunteer Ophthalmologist / Retinal Surgeon, Nuku' Olafa, Tonga and Va'Vau, Tonga, 9 09, with Surgical Eye Expedition and The Hawaiian Eye Foundation

Medical Service: Volunteer Ophthalmologist, Rescati Family Rescue Mission, San Fernando Valley, CA 2009-2012